

**IN THE CLAIMS:**

Please re-write the claims as follows:

- 1 1. (Currently Amended) A system for synchronizing dependencies upon a set of persis-  
2 tent consistency point images (PCPIs) among a set of computers, the system comprising:  
3 means for identifying a dependency upon the set of PCPIs;  
4 means for creating a set of soft locks, each soft lock in the set of soft locks associ-  
5 ated with each of the PCPIs in the set of PCPIs; and  
6 means for transmitting the set of soft locks upstream to one or more of the set of  
7 computers.
- 1 2. (Original) The system of claim 1 wherein the set of computers comprises a set of  
2 storage appliances.
- 1 3. (Original) The system of claim 1 wherein each soft lock comprises a PCPI identifier  
2 field, a type field and a string field.
- 1 4. (Original) The system of claim 3 wherein the string field comprises user visible in-  
2 formation.
- 1 5. (Original) The system of claim 3 wherein the string field identifies an application that  
2 depends upon the PCPI associated with the soft lock.
- 1 6. (Original) The system of claim 3 wherein the type field identifies a type of data in the  
2 string field.
- 1 7. (Original) The system of claim 6 wherein the type of data comprises an owner name.

1 8. (Original) The system of claim 6 wherein the type of data comprises a destination  
2 path.

1 9. (Original) The system of claim 6 wherein the type of data comprises a qtree name.

1 10. (Original) The system of claim 1 wherein the means for transmitting the set of soft  
2 locks to one or more of the set of computers further comprises:

3 means for transmitting the set of soft locks before an asynchronous mirroring  
4 process; and

5 means for transmitting the set of soft locks after an asynchronous mirroring proc-  
6 ess.

1 11. (Currently Amended) A method for synchronizing dependencies upon a set of per-  
2 sistent consistency point images (PCPIs) among a set of computers, comprising:

3 identifying a dependency upon the set of PCPIs;

4 creating a set of soft locks, each soft lock in the set of soft locks associated with  
5 each of the PCPIs in the set of PCPIs; and

6 transmitting the set of soft locks upstream to one or more of the set of computers.

1 12. (Previously Presented) The method of claim 11 wherein the set of computers com-  
2 prises a set of storage appliances.

1 13. (Previously Presented) The method of claim 11 wherein each soft lock comprises a  
2 PCPI identifier field, a type field and a string field.

1 14. (Original) The method of claim 13 wherein the string field comprises user visible  
2 information.

1 15. (Original) The method of claim 13 wherein the string field identifies an application  
2 that depends upon the PCPI associated with the soft lock.

1 16. (Original) The method of claim 13 wherein the type field identifies a type of data in  
2 the string field.

1 17. (Original) The method of claim 16 wherein the type of data comprises an owner  
2 name.

1 18. (Original) The method of claim 16 wherein the type of data comprises a destination  
2 path.

1 19. (Original) The method of claim 16 wherein the type of data comprises a qtree name.

1 20. (Previously Presented) The method of claim 11 wherein the step of transmitting the  
2 set of soft locks to one or more of the set of computers further comprises:

3 transmitting the set of soft locks before an asynchronous mirroring process; and  
4 transmitting the set of soft locks after an asynchronous mirroring process.

1 21. (Currently Amended) A storage system for use in a storage system environment for  
2 communicating dependencies upon a set of persistent consistency point images (PCPIs)  
3 among a set of storage systems, the storage system comprising:

4 a storage operating system having a file system that implements PCPIs;  
5 an application executing on the storage system, the application adapted to imple-  
6 ment a soft lock to communicate a dependency with a specific PCPI; and  
7 a network protocol module of the storage operating system, the network protocol  
8 module operatively interconnected with the application and adapted to transfer the soft  
9 lock to one or more upstream storage systems in the set of storage systems.

1 22. (Original) The storage system of claim 21 wherein the application comprises an  
2 asynchronous mirroring application.

1 23. (Original) The storage system of claim 21 wherein the soft lock comprises a PCPI  
2 identifier field, a type field, and a string field.

1 24. (Original) The storage system of claim 23 wherein the string field comprises user  
2 visible information.

1 25. (Original) The method of claim 23 wherein the string field identifies an application  
2 that depends upon the PCPI associated with the soft lock.

1 26. (Original) The method of claim 23 wherein the type field identifies a type of data in  
2 the string field.

1 27. (Original) The method of claim 26 wherein the type of data comprises an owner  
2 name.

1 28. (Original) The method of claim 26 wherein the type of data comprises a destination  
2 path.

1 29. (Original) The method of claim 26 wherein the type of data comprises a qtree name.

1 30. (Previously Presented) A method for propagating soft locks through a cascaded  
2 chain of storage systems comprising at least a downstream storage system and an up-  
3 stream storage system, comprising:

4 identifying a set of persistent consistency point images on the upstream storage  
5 system that require a soft lock to be set;

6 creating soft locks for the identified set of persistent consistency point images;

7           sending the created soft locks to the upstream storage system; and  
8           performing an asynchronous mirroring process to mirror local data to the down-  
9 stream storage system.

1   31. (Previously Presented) The method of claim 30 further comprising:  
2           determining if a new persistent consistency point image exist on the downstream  
3 storage system;  
4           identifying, in response to a new persistent consistency image existing on the  
5 storage system, a set of additional soft locks on the downstream storage system; and  
6           sending the additional set of soft locks to the upstream storage system.

1   32. (Original) The method of claim 30 wherein the soft lock comprises a data structure  
2 having an entry identifying a resource identifier and an identifier of a locking data set.

1   33. (Original) The method of claim 32 wherein a resource identifier identifies a persis-  
2 tent consistency point image that the soft lock protects.

1   34. (Original) The method of claim 32 wherein the identifier of a locking dataset identi-  
2 fies a resource on a downstream system that requires the use of the persistent consistency  
3 point image identified in the resource identifier.

1   35. (Previously Presented) The method of claim 30 wherein the step of identifying a set  
2 of persistent consistency point images on the upstream storage system that requires a soft  
3 lock to be set further comprises:

4           identifying a set of persistent consistency point images that are in common be-  
5 tween the upstream storage system and the downstream storage system; and

6           identifying a set of persistent consistency point images that have a soft lock set  
7 from one or more storage systems located downstream from the downstream storage sys-  
8 tem.

- 1 36. (Original) The method of claim 30 wherein the downstream storage system com-  
2 prises a storage system to which mirrored data is transferred.
- 1 37. (Original) The method of claim 30 wherein the upstream storage system comprises a  
2 storage system from which mirrored data is transferred.
- 1 38. (Original) A cascaded set of storage systems interconnected via one or more net-  
2 works, each of the storage systems comprising:  
3 a storage operating system executing, the storage operating system including a  
4 mirroring application adapted to create and maintain soft locks on the storage systems of  
5 the cascaded set of storage systems.
- 1 39. (Original) The cascaded set of storage systems of claim 38 wherein the mirroring ap-  
2 plication implements a volume-based asynchronous mirroring process.
- 1 40. (Original) The cascaded set of storage systems of claim 38 wherein the mirroring ap-  
2 plication implements a qtree-based asynchronous mirroring process.
- 1 41. (Original) The cascaded set of storage systems of claim 38 wherein each of the soft  
2 locks comprises a data structure having an entry defining a resource identifier and an en-  
3 try identifying a locking dataset.
- 1 42. (Original) The cascaded set of storage systems of claim 38 wherein the mirroring ap-  
2 plication is further adapted to propagate the soft locks to one or more of the storage sys-  
3 tems in the cascaded set of storage systems.
- 1 43. (Original) A storage system for use in a cascaded set of storage systems having at  
2 least an upstream storage system, the storage system comprising:

3 means for identifying a set of persistent consistency point images on the upstream  
4 storage system that require a soft lock to be set;

5 means for creating soft locks for the identified set of persistent consistency point  
6 images; and

7 means for sending the created soft locks to the upstream storage system.

1 44. (Original) The storage system of claim 43 further comprising means for performing  
2 an asynchronous mirroring process to mirror local data to a downstream storage system.

1 45. (Original) The storage system of claim 44 wherein the storage system is operatively  
2 interconnected with the downstream storage system via a network.

1 46. (Original) The storage system of claim 44 wherein the storage system is connected to  
2 the upstream storage system and the downstream storage system via a network.

1 47. (Original) The storage system of claim 43 further comprising means for performing  
2 an asynchronous mirroring process to mirror local data to the downstream storage sys-  
3 tem.

1 48. (Original) A computer readable medium, including program instructions executing  
2 on a storage system in a cascaded set of storage systems having at least an upstream stor-  
3 age system and a downstream storage system, the computer readable medium including  
4 instructions for performing the steps of:

5 identifying a set of persistent consistency point images that are in common be-  
6 tween the upstream storage system and the downstream storage system; and

7 identifying a set of persistent consistency point images that have a soft lock set  
8 from one or more storage systems located downstream from the downstream storage sys-  
9 tem;

10 creating soft locks for the identified set of persistent consistency point images;

11            sending the created soft locks to the upstream storage system; and  
12            performing an asynchronous mirroring process to mirror local data to the down-  
13 stream storage system.

1    49. (Original) The computer readable medium of claim 19 wherein local data comprises  
2    data stored on storage devices associated with a storage system executing the computer  
3    readable medium.

1    50. (Currently Amended) A method for synchronizing persistent consistency point im-  
2    ages among a plurality of computers, comprising:  
3            identifying a set of persistent consistency point images on a first computer of the  
4    plurality of computers;  
5            creating soft locks for the identified set of persistent consistency point images; and  
6            sending the created soft locks upstream to the plurality of computers.

1    51. (Previously Presented) The method of claim 50 wherein , in the identifying step, the  
2    set of persistent consistency point images is identified, in the identifying step, on an up-  
3    stream storage system of the plurality of computers.

1    52. (Previously Presented) The method of claim 50 wherein, in the sending step, the cre-  
2    ated soft locks are sent, to an upstream storage system of the plurality of computers.

1    53. (Previously Presented) The method of claim 50 wherein, in the identifying step, per-  
2    sistent consistency point images that require a soft lock to be set are identified.

1 54. (Previously Presented) The method of claim 50 further comprising:  
2 performing an asynchronous mirroring process to mirror local data to a selected  
3 computer of the plurality of computers, the soft locks maintaining consistency of the data  
4 on the plurality of computers.

1 55. (Previously Presented) The method of claim 54 wherein, in the mirroring step, the  
2 local data is mirrored to a down stream storage system of the plurality of computers.

1 56. (Previously Presented) A method of synchronizing dependencies upon a set of per-  
2 sistent consistency point images, comprising:  
3 identifying a set of persistent consistency point images that are in common be-  
4 tween an upstream storage system and a downstream storage system; and  
5 identifying a set of persistent consistency point images that have a soft lock set  
6 from one or more storage systems located downstream from the downstream storage sys-  
7 tem;  
8 creating soft locks for the identified set of persistent consistency point images;  
9 and  
10 sending the created soft locks to the upstream storage system.

1 57. (Previously Presented) The method of claim 56 further comprising:  
2 performing an asynchronous mirroring process to mirror local data to the down-  
3 stream storage system.

1 58. (Previously Presented) A system for synchronizing dependencies upon a set of per-  
2 sistent consistency point images, comprising:

3 means for identifying a set of persistent consistency point images that are in  
4 common between an upstream storage system and a downstream storage system; and  
5 means for identifying a set of persistent consistency point images that have a soft  
6 lock set from one or more storage systems located downstream from the downstream  
7 storage system;  
8 means for creating soft locks for the identified set of persistent consistency point  
9 images; and  
10 means for sending the created soft locks to the upstream storage system.

1 59. (Previously Presented) The system according to claim 58 further comprising:  
2 means for performing an asynchronous mirroring process to mirror local  
3 data to the downstream storage system.

1 60. (Previously Presented) A computer data storage system cluster comprising:  
2 a primary storage system including an active file system;  
3 a persistent consistency point image (PCPI) consisting of a point-in-time  
4 image of the active file system;  
5 at least one mirror image of the PCPI, the mirror image being stored on a  
6 downstream storage system; and  
7 at least one soft lock issued by the downstream storage system in response  
8 to an application being dependent upon the PCPI, the soft lock consisting of a data  
9 structure configured to prevent changes to the PCPI.

1 61. (Previously Presented) The computer data storage system cluster of claim 60  
2 comprising:  
3 a cascade of mirrored images of the PCPI stored on a plurality of data  
4 storage systems in the cluster; and

5            wherein the at least one soft lock comprises a set of soft locks that are  
6        communicated from downstream storage systems in the cluster to upstream stor-  
7        age systems in the cluster.

1        62. (Previously Presented) The computer data storage system cluster of claim 60  
2        comprising:

3            wherein the soft lock is transmitted from the downstream storage system  
4        to the primary storage system over a data link.

1        63. (Previously Presented) The computer data storage system cluster of claim 60  
2        comprising:

3            a field in the soft lock storing data identifying an owner of the soft lock  
4        wherein the owner comprises the application being dependent upon the PCPI.

1        64. (Previously Presented) A method of managing data on a cluster of computer  
2        data storage systems, the method comprising:

3            writing a persistent consistency point image (PCPI) on a primary storage  
4        system, the PCPI consisting of a point-in-time image of an active file system op-  
5        erating on the primary storage system;

6            writing at least one mirror image of the PCPI on a downstream storage  
7        system; and

8            issuing at least one soft lock by the downstream storage system in re-  
9        sponse to an application being dependent upon the PCPI, the soft lock consisting  
10       of a data structure configured to prevent changes to the PCPI.

1 65. (Previously Presented) The method of claim 64 comprising:

2 writing a cascade of mirrored images of the PCPI on a plurality of data  
3 storage systems in the cluster; and

4 wherein the at least one soft lock comprises a set of soft locks that are  
5 communicated from downstream storage systems in the cluster to upstream stor-  
6 age systems in the cluster,

1 66. (Previously Presented) The method of claim 64 comprising:

2 transmitting the soft lock from the downstream storage system to the pri-  
3 mary storage system over a data link.

1 67. (Previously Presented) The method of claim 64 comprising:

2 storing data in the soft lock, the data identifying an owner of the soft lock  
3 wherein the owner comprises the application being dependent upon the PCPI.

1 68. (Previously Presented) A computer readable medium, including program in-  
2 structions executing on a storage system in a cascaded set of storage systems hav-  
3 ing at least an upstream storage system and a downstream storage system, the  
4 computer readable medium including instructions for performing the steps of:

5 writing a persistent consistency point image (PCPI) on a primary storage  
6 system, the PCPI consisting of a point-in-time image of an active file system op-  
7 erating on the primary storage system;

8 writing at least one mirror image of the PCPI on a downstream storage  
9 system; and

10           issuing at least one soft lock by the downstream storage system in re-  
11   sponse to an application being dependent upon the PCPI, the soft lock consisting  
12   of a data structure configured to prevent changes to the PCPI.

1   69. (Previously Presented) A computer data storage system cluster comprising:

2           means for writing a persistent consistency point image (PCPI) on a pri-  
3   mary storage system, the PCPI consisting of a point-in-time image of an active  
4   file system operating on the primary storage system;

5           means for writing at least one mirror image of the PCPI on a down-  
6   stream storage system; and

7           means for issuing at least one soft lock by the downstream storage sys-  
8   tem in response to an application being dependent upon the PCPI, the soft lock  
9   consisting of a data structure configured to prevent changes to the PCPI.

1   70. (New) The system of claim 1 further comprising:

2           means for transmitting the set of soft locks downstream to the one or  
3   more of the set of computers.

1   71. (New) The method of claim 11 further comprising:

2           transmitting the set of soft locks downstream to the one or more of the  
3   set of computers.

1   72. (New) The system of claim 21 wherein the network protocol module is fur-  
2   ther adapted to transfer the soft lock to one or more downstream storage systems  
3   in the set of storage systems.

1   73. (New) The method of claim 43 further comprising:

2 means for identifying a separate set of persistent consistency point im-  
3 ages on the downstream storage system that require a separate soft lock to be  
4 set;

5 means for creating the separate soft locks for the identified separate set  
6 of persistent consistency point images; and

7 means for sending the created separate soft locks to the downstream  
8 storage system.

1 74. (New) The method of claim 50 further comprising:

2 sending the created soft locks downstream to the plurality of computers.

1 75. (New) The method of claim 56 further comprising:

2 sending the created soft locks to the downstream storage system.

1 76. (New) The system of claim 58 further comprising:

2 means for sending the created soft locks to the downstream storage sys-  
3 tem.

1 77. (New) The computer readable medium of claim 68 further comprising:

2 writing at least one mirror image of the PCPI on an upstream storage  
3 system; and

4 issuing at least one soft lock by the upstream storage system in response  
5 to an application being dependent upon the PCPI, the soft lock consisting of a  
6 data structure configured to prevent changes to the PCPI.

1 78. (New) The computer readable medium of claim 69 further comprising:

2 means for writing at least one mirror image of the PCPI on an upstream  
3 storage system; and

4           means for issuing at least one soft lock by the upstream storage system  
5   in response to an application being dependent upon the PCPI, the soft lock con-  
6   sisting of a data structure configured to prevent changes to the PCPI.